

Client Service Options for Investment Clients plus Fee and Commission Cost

| Platinum Service | Gold Service for Portfolios held on Forte | Transaction only service – Forte Portfolios | | | | | | | | |
|---|--|--|---------------|----------------------|-----------------------|----------------------|-------------|----------------------|--|--|
| <ul style="list-style-type: none"> ▶ One Answer Investment management ‘wrap’ platform with access to: <ul style="list-style-type: none"> - Over 170 managed funds - Any listed equity or bond in the world - Selected debenture providers - Bank Term Deposits - International currency accounts ▶ Full rebate of all brokerage and commissions ▶ Wider diversified portfolios tailored to your individual risk profile ▶ One consolidated tax statement for all investments ▶ Unlimited access to your Adviser ▶ Liaison with your accountant and lawyer, where necessary ▶ Annual consultation and preparation of comprehensive annual review report ▶ Emailed monthly economic commentary ▶ Provision of special interest reports or articles on request ▶ Quarterly newsletter (email or hardcopy) ▶ Free switching ▶ Regular portfolio reports ▶ Priority listing for new investment opportunities ▶ Invitation to client functions | <ul style="list-style-type: none"> ▶ Private Portfolio Service (PPS) Master Trust with comprehensive balanced and sector funds ▶ Quarterly newsletter (email or hardcopy) ▶ Fixed Interest Securities and retail managed funds can be integrated into portfolios ▶ Diversified portfolios available ▶ Free switching ▶ Annual consultation and post-consultation letter ▶ Three-monthly portfolio report prepared and mailed/emailed ▶ Access to your adviser by appointment | <ul style="list-style-type: none"> ▶ No advice service (purely a placement of investment based on client instruction) ▶ Investors can chose only from <ul style="list-style-type: none"> - Master Trust Sector Funds ▶ Six-monthly portfolio summary provided | | | | | | | | |
| Remuneration | Remuneration | Remuneration | | | | | | | | |
| <ul style="list-style-type: none"> ▶ Planning/Advice /Reviews/Implementation Fees based on \$250 + GST per hour plus disbursements. ▶ All commissions fully rebated back to the client ▶ Annual portfolio fee tiered as follows: <table border="1" data-bbox="130 1062 695 1235"> <thead> <tr> <th>Portfolio Value</th> <th>Fee</th> </tr> </thead> <tbody> <tr> <td>0 - \$250,000</td> <td>1.00% of value + GST</td> </tr> <tr> <td>\$250,001 - \$500,000</td> <td>0.90% of value + GST</td> </tr> <tr> <td>\$500,001 +</td> <td>0.80% of value + GST</td> </tr> </tbody> </table> <p>Additional Fee: One Answer Administration Wrap Fees: Administration & Custodial Fees of 0.35% reducing to 0.20% per annum, depending on the size of the investment portfolio.</p> | Portfolio Value | Fee | 0 - \$250,000 | 1.00% of value + GST | \$250,001 - \$500,000 | 0.90% of value + GST | \$500,001 + | 0.80% of value + GST | <ul style="list-style-type: none"> ▶ Planning/Advice/Reviews/Implementation Fees based on \$250 + GST per hour plus disbursements. ▶ Annual membership fee of \$700 + GST ▶ Commissions and trail fees as received from product providers | <ul style="list-style-type: none"> ▶ All meetings or advice given (other than for a transaction) is charged at \$250 per hour plus GST ▶ Commission on transactions and trail fees as received from product provider per schedule. |
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| 0 - \$250,000 | 1.00% of value + GST | | | | | | | | | |
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